

# Milner's legacy

## The ML language

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A life of “theory becoming practice”

# Agenda

- Introduction: functional models
- ML, the model
- ML, the language
- Conclusions

# Functional models

- Functions as **first-class citizens**
- basic computation step: function application
- terms:
  - variable:  $x$
  - function:  $\lambda x.x y$
  - function application:  $(\lambda x.x y)z$
- Semantic model: **expression rewriting**
  - $\alpha$  equivalence: changing bound variables
  - term reduction:  $(\lambda x.s)r \rightarrow s[r/x]$
- issues:
  - call-by-name (lazy evaluation)
  - call-by-value (eager evaluation)
  - confluence

# Functional models: adding types

- A set of basic **types**:  $\mathcal{G}$
- basic terms (or “pre-terms”). These include things we do not want, such as

$$\lambda x : \tau. x x$$

- Type **judgements**: disallow the above
- The **types**:  $\tau := \mathcal{G} \mid \tau \rightarrow \tau$
- The **pre-terms**:  $\mathcal{T} := V \mid \mathcal{T} \mathcal{T} \mid \lambda V : \tau. \mathcal{T}$
- The **context** ( $x_i$  has type  $T_i$ ):  $x_1 : T_1, \dots, x_i : T_i, \dots, x_m : T_m$
- The **judgements**:

$$\overline{x_1 : T_1, \dots, x_m : T_m \vdash x_i : T_i}$$

$$\frac{\Gamma \vdash f : A \rightarrow B \quad \Gamma \vdash r : A}{\Gamma \vdash f r : B}$$

$$\frac{\Gamma, x : A \vdash s : B}{\Gamma \vdash \lambda x. s : A \rightarrow B}$$

# The ML model (untyped)

identifiers ::=	
$x$	variables
$c$	constants ← <b>new</b>
$m$	memory location ← <b>new</b>
expressions ::=	
$x$	identifier
$\lambda x.t$	function
$t t$	application
<b>let</b> $z = t$ <b>in</b> $t$	local definition ← <b>new</b>
Evaluation contexts ::=	
$[]$	empty context
$\mathcal{E} t$	left side of application
$v \mathcal{E}$	right side of application
<b>let</b> $z = \mathcal{E}$ <b>in</b> $t$	local def

# The ML model: semantics

$(\lambda z.t)v \rightarrow [z \mapsto v]t$   $v$  is a **constant value**

**let**  $z = v$  **in**  $t \rightarrow [z \mapsto v]t$

$$\frac{(t, u) \rightarrow^\delta (t', u')}{(t, u) \rightarrow (t', u')} \quad \rightarrow^\delta: \text{reduction by constants, e.g. built-in functions}$$

$$\frac{(t, u) \rightarrow (t', u') \quad \text{dom}(u'') \# \text{dom}(u') \quad \text{ran}(u'') \# \text{dom}(u' \setminus u)}{(t, uu'') \rightarrow (t', u' u'')}$$

$u$  is a **store**: mapping from memory locations to values

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$u$  is a **store**: mapping from memory locations to values

**let**  $z = E$  **in**  $S$  **is the same** as  $(\lambda z. S) E$   
 so, what is new?



# The ML model: summary

- First-class functions
- Data structures (i.e. constants) built out of sums and products
- Mutable memory cells (references)
- call-by-value semantics

and..

A type system based on the simply typed  $\lambda$ calculus  
with a simple form of polymorphism  
introduced by **let** declarations

# The ML model: type system

Hindley-Milner, 1978

Key contributions:

- Restricted, **practical** polymorphism
- A **decidable** type inference
- A type inference algorithm **efficient** in practical cases

# The ML type system: basics

- **Type variable**: a name (different from program vars) standing for a type
- A **type** is a first-order term:
  - 1 a type variable
  - 2 a function type  $T \rightarrow T$
- **Substitution**: function assigning a type to (some) type variables,  $[\vec{X} \mapsto \vec{T}]$
- **Type scheme**: an object  $S$  of the form  $\forall \vec{X} : T$
- **Type instance**: any type of the form  $[\vec{X} \mapsto \vec{T}]T$  is an instance of  $\forall \vec{X} : T$
- **Type environment** ( $\Gamma$ ): a function assigning a type scheme to (some) program identifiers  
(every type  $T$  is a type scheme  $\forall \emptyset. T$ )

## The ML type system: rules

$$\frac{\Gamma(x) : S}{\Gamma \vdash x : S}$$

$$\frac{\Gamma \vdash t_1 : S \quad \Gamma; z : S \vdash t_2 : T}{\Gamma \vdash \mathbf{let} z = t_1 \mathbf{in} t_2 : T}$$

$$\frac{\Gamma; z : T \vdash t : T'}{\Gamma \vdash \lambda z. t : T \rightarrow T'}$$

$$\frac{\Gamma \vdash t : T \quad \vec{X} \# \text{ftv}(\Gamma)}{\Gamma \vdash t : \forall \vec{X}. T}$$

$$\frac{\Gamma \vdash t_1 : T \rightarrow T' \quad \Gamma \vdash t_2 : T}{\Gamma \vdash t_1 t_2 : T'}$$

$$\frac{\Gamma \vdash t : \forall \vec{X}. T}{\Gamma \vdash t : [\vec{X} \mapsto \vec{T}] T}$$

Types are **stratified**. Type schemes are not allowed deep inside expressions

# Example of a type derivation

- $$(1) \overline{z_1 : X \vdash z_1 : X} \quad (1) \overline{z_1 : X; z_2 : X \vdash z_2 : X}$$
- $$(4) \overline{z_1 : X \vdash \mathbf{let} \ z_2 = z_1 \ \mathbf{in} \ z_2 : X}$$
- $$(2) \overline{\emptyset \vdash \lambda z_1. \mathbf{let} \ z_2 = z_1 \ \mathbf{in} \ z_2 : X \rightarrow X}$$

# Type system: properties

## Soundness:

Well-typed programs do not go wrong

## Inference:

There is an algorithm that, given a closed environment  $\Gamma$  and a program  $t$ , tells whether  $t$  is well-typed w.r.t  $\Gamma$  and, if so, produces a **principal** type scheme  $S$

A **principal type scheme** is such that:

- it is valid. i.e.  $\Gamma \vdash t : S$  holds
- it is **most general**:  
every judgement  $\Gamma \vdash t : S'$   
follows from  $\Gamma \vdash t : S$  by (6) and (5)

# The inference algorithm: the idea

- Generate a set of **type equations**

A function  $f$ , mapping a pair  $(\Gamma, t)$  to a type  $T$

$\Gamma$ : a type environment,  $t$ : an expression

(1)  $f(\Gamma, v) = \tau$ , where  $(v \mapsto \tau) \in \Gamma$

(2)  $f(\Gamma, g e) = \tau$ , where  $f(\Gamma, g) = \tau_1 \rightarrow \tau$ , and  $f(\Gamma, e) = \tau_1$

(3)  $f(\Gamma, \lambda v : \tau. e) = \tau \rightarrow f(\Gamma_1, e)$ , where  $\Gamma_1 = \Gamma \cup \{v \mapsto \tau\}$

- **Unify**

A function  $U$ , from type equations to substitutions

(1)  $U \emptyset = \mathbf{id}$

(2)  $U([\alpha = T] \cup C) = U(C') \cdot (\alpha \mapsto T)$ ,

where  $C'$  is  $C$  with the substitution  $\alpha \mapsto T$  applied to it

(3)  $U([S \rightarrow S' = T \rightarrow T'] \cup C) = U(\{[S = T], [S' = T']\} \cup C)$

# The inference algorithm: strategy

A nice scheme based on **constraints** (Damas-Milner, 1982)

- **Type inference** problem: Given a type environment  $\Gamma$  and an expression  $t$ , **find a type  $T$  such that  $\Gamma \vdash t : T$  holds**
- **Constraints type inference** problem: given a type environment  $\Gamma$ , an expression  $t$ , and a type  $T$ , **find a satisfiable constraint  $C$  such that  $C, \Gamma \vdash t : T$  holds**

Reducing a type inference problem to a constraint problem:

produce a constraint  $C$  that is both **sufficient** and **necessary** for  $C, \Gamma \vdash t : T$  to hold



# The ML language

“The definition of standard ML”  
Milner-Tofte-Harper, 1990

# The ML language: features

- **Safe**: well-typed programs do not go wrong
- **Modular**: supports modules, called *structures*, and interfaces, called *signatures*.
- **Functional**: first-class functions
- **Strict**: call-by-value semantics
- **Polymorphic**: data-type and function polymorphism  
e.g. `list 'α fun I(x :' a) :' a = x` (*identityfunction*)
- **Exception handling**
- **Immutable data types**: once initialized, the structure does not change
- **Udatable references**
- **Abstract data types**: support for information hiding
- **Parametric modules**: a *functor* taking the signature of another module as argument

# First-class functions in ML: a dictionary

*exception SORRY* / \* *exception definition*

> *con SORRY : exn*

*val initdic = fn(key) => raise SORRY;*

> *val initdic = fn : 'a → 'b*

*fun update(cont, key)(dict) =*

*fn(key1) => if key = key1 then cont else dict(key1)*

> *val update = fn : ('a \* 'b) → (('b → 'a) → ('b → 'a))*

*fun delete(key)(dict) =*

*fn(key1) =>*

*if key = key1 then raise SORRY else dict(key1);*

> *val delete = fn : 'a → (('a → 'b) → ('a → 'b))*

# First-class functions in ML: a dictionary

```
val engfrench =  
  (update("souris", "ant")  
   (update("chat", "cat")  
    (update("cheval", "horse")  
     initdic)))  
> val engfrench = fn : string → string
```

# Inmutable data types: type constructors

- Cartesian product:  $(... * ...)$     Function space:  $(... \rightarrow ...)$

**Disjoint union:** ensuring unique types

$$A | B =^{def} A \times \{(A, B, l)\} \cup B \times \{(A, B, r)\}$$

$$\text{e.g. let } A = \{1, 2\} \quad B = \{3, 4\}, \quad A \cup B = \{1, 2, 3, 4\}$$

$$A | B = \{(1, (A, B, l)), (2, (A, B, l)), (3, (A, B, r)), (4, (A, B, r))\}$$

```
datatype type0 = fun1 of type1
                | fun2 of type2;
```

## Immutable data types: type constructors (2)

Recursive type definitions:

```
datatype tree = empty
              | mktree of real * tree * tree;

fun sum(empty) = 0,0
    | sum(mktree(r, t1, t2) = r + sum(t1) + sum(t2)
> val sum = fn : tree → real

mktree(5.0,mktree(1.0,empty,empty))
```

# Polymorphic types

```
datatype ('a)tree = empty
                | mktree of ('a) * ('a)tree * ('a)tree;
```

```
> datatype 'a tree = empty | mktree of 'a * ('a tree) * ('a tree)
con mktree = fn : ('a * ('a tree) * ('a tree)) → ('a tree)
con empty = empty : 'a tree
```

# Polymorphic functions

```
fun apply(f,x) = f(x) ;  
> val apply fn : (( 'a → 'b)* 'a )→ 'b
```

If “being of type” is the same as “belongs to the set”, then every object has a **unique** (concrete) type. What is the type of the above function?

Polymorphic object:

```
A function from types to objects
```



# Polymorphic functions

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fun apply(f,x) = f(x) ;
> val apply fn : (( 'a → 'b)* 'a )→ 'b
```

If “being of type” is the same as “belongs to the set”, then every object has a **unique** (concrete) type. What is the type of the above function?

**depends on the context:**

```
apply((fn(n) => n + 1), 2)
```

```
apply(sin, 3, 14)
```

**Polymorphic object:**

```
A function from types to objects
```

# Iterators

```
fun itnat n f a = if n = 0 then a else f(itnat (n - 1) f a ;
```

```
fun itlist [] f b = b  
  | itlist (a :: lis) f b =  
    f (a, itlist lis f b)
```

# Abstract data types

defines an **environment** or **module**

```

structure Stack =
  struct
    exception no_entry;
    type ('a) stack = int * (int → 'a);
    val empty = (0, (fn(k : int) => raise no_entry));
    fun push(a, (n, f)) =
      (n + 1, fn(n1) => if n1 = n + 1 then a else f(n1));
    fun top(n, f) = f(n);
    fun pop(n, f) =
      if n = 0 then (n, f) else (n - 1, f);
  end ;

```

```
let open Stack in top(push(5, empty)) end ;
```

# Interfaces

## Signature

```
signature DICT =
  sig
    exception not_found;
    type key;
    type cont;
    type dict;
    val initial : dict;
    val ins :
      key * cont * dict → dict;
    val find :
      key * dict → cont;
  end ;
```

## module

```
structure Dict1 : DICT =
  struct
    exception not_found;
    type key = int;
    type cont = real;
    datatype
      dict = empty
      | node of
          (key * cont) * dict * dict;
    val initial =
      fn(k) => raise not_found;
    fun ins(k, c, d) = ...
  end ;
```

# So, what is next?

As a way of conclusion...

- Functional programming:  
ML + lazy evaluation + more powerful pattern matching:  
Haskell
- Object-oriented programming:  
ML + objects: Ocaml
- Concurrent programming:  
ML + concurrency: Erlang, Haskell, Oz(?)
- Distributed programming:  
ML + distribution: Pict(?) ← Milner